



HSBC InvestDirect International Account Application Form

APPLICATION FORM

Please complete ALL white areas on the form in BLOCK CAPITALS. Fields marked with * must be completed, please mark as N/A if not relevant. If you hold/have applied for a joint bank account and wish both parties to be able to carry out online share dealing, please request another application form as you will need two separate HSBC InvestDirect International Accounts. The HSBC InvestDirect International Account is only available to individuals (joint applications will not be accepted).

Section 1

PERSONAL DETAILS

Mr/Mrs/Miss/Ms If other, please specify (eg Dr)

Title*

First name(s)*

Last name*

Mother's maiden name

Date of Birth*

Country of residency for tax purposes*

Other countries of residence for tax purposes*

Other countries of residence for tax purposes*

Nationality* (Citizenship)

Dual Nationality* (Citizenship)

Multiple Nationalities* (Citizenship)

If Multiple Nationalities or Citizenships are held, please complete the separate Nationality and Nationality Identifier Document.

PRINCIPAL RESIDENTIAL ADDRESS* (Where you currently reside)

Postcode

Time at this address Years Months

PREVIOUS RESIDENTIAL ADDRESS* (Where you previously lived). A full residential address must be provided, ie house name, street name etc.

Postcode

Time at this address Years Months

Email address

In respect of companies whose debt or equity securities are publicly traded in United States markets are you a director, a five-percent (5%) shareholder or policy making officer? Yes No

Are you a United States Person (as defined by the United States IRS)? (If No, please go to Section 2). Yes No

If Yes, please complete your US Tax Payer Identification Number

Section 2

SETTLEMENT ACCOUNTS

An HSBC InvestDirect International Account automatically provides you with an Investment Account, to hold your shares, and a Settlement Account, for the holding of cash. We can open Settlement Account(s) for you in Sterling, US Dollars or Euro. If you wish to settle trades in more than one currency, you will need a Settlement Account in each currency.

Please open a Settlement Account for me in the following currency(ies)

Sterling US Dollars Euro

Please be aware, you will need an HSBC Bank plc, Jersey Branch Bank Account(s) in the same currency(ies) as your Settlement Account(s). A trading limit of £10,000 (or currency equivalent) will be applied to each Settlement Account. If you already hold an HSBC Bank plc, Jersey Branch Bank Account, please complete your account number below.

My HSBC Bank plc, Jersey Branch Account number is Branch sort code - -

Section 3

STANDING INSTRUCTIONS

When we pay dividends to you please specify below how you would like us to pay these to you. (Please select one payment method only.)

- Cash to my Sterling Settlement Account
- Cash to my Euro Settlement Account
- Cash to my US Dollar Settlement Account
- I would prefer to receive shares (where appropriate)

Details of all charges associated with the operation of your HSBC InvestDirect International Account can be viewed at www.expat.hsbc.com/1/2/sharedealing

Which settlement account would you prefer these to be deducted from?

- Sterling
- US Dollars
- Euro

I would like to apply for an HSBC InvestDirect International Account with HSBC Bank Plc. I confirm I have read the relevant Key Features Document and Rates and Fees document and agree to the InvestDirect International Terms and Conditions which were made available to me on www.expat.hsbc.com/1/2/sharedealing

I have read and agree to be bound by the Privacy Policy of HSBC InvestDirect International which can be viewed at www.expat.hsbc.com/1/2/sharedealing and consent to HSBC Bank plc using my personal information in accordance with this Policy. I agree that HSBC Bank plc, Jersey Branch and HSBC Bank plc will share information in order to administer my HSBC InvestDirect International Portfolio. I understand that any calls that may or do lead to a transaction will be recorded and that HSBC Bank plc will keep these records for seven years. I understand I can ask for a copy at any time during those seven years.

This information has been completed to the best of my knowledge and belief and I will notify HSBC Bank Plc without any delay of any changes in my circumstances affecting any of the information given in this application. Where the information I have supplied relates to other people, I declare that I am authorised by them to disclose information and to accept the terms on their behalf.

Important Information

Please refer to the 'Your Information' Clause in the HSBC InvestDirect International Account Terms & Conditions which explains how we will use this information.

Information about Products, Services and Promotions

If you agree, the HSBC Group may use and share relevant information about you, your transactions and your relationships with the HSBC Group, to give you information about products, services (including mortgages) and promotions available from HSBC Group companies and those of selected third parties which may interest you by post, telephone electronic and other means.

The HSBC Group may also exchange, analyse and use relevant information about you in the way described above to ensure that promotional content displayed to you on screen when you log on to HSBC Group websites is more likely to be relevant and of interest. If you do not want us to contact you about such products and services or use what we know about you to help decide what we display to you on our websites, please let us know. By completing this application you will be consenting to the use of your information for this **unless** you tick the appropriate box(es) below to indicate that you do not wish to receive such information:

- No post
- No email
- No telephone
- No mobile messaging

By signing this application, you agree that we can use your information in the way set out above and in our HSBC InvestDirect International Account Terms and Conditions.

In order to process your application we will require a Common Reporting Standards self certification from you. This can be obtained at <http://www.crs.hsbc.com/en>. Please submit this document with your application form.

I Declare that

- I am aged 18 years or over.
- I have received and read the relevant Key Features Document, Costs and Charges Disclosure Document and agree to the InvestDirect International Terms and Conditions.
- Where the information I have supplied relates to other people, I declare that I am authorised by them to disclose that information and agree to the InvestDirect International Terms and Conditions on their behalf.
- I have not received any advice from HSBC Bank plc or any of its employees.
- This application form has been completed to the best of my knowledge and belief and I will notify HSBC Bank plc without any delay of any changes in my circumstances affecting any of the information given in this application.

Signature (sole applications only)

Date

X

DDMMYYYY

FOR HSBC USE ONLY

Staff Yes No (please tick)

Approved Trading Limit

GBP OBA HUB Number

GBP OBA Retail Bank Account Number GBP OBA Sort Code - -

USD OBA HUB Number

EUR OBA HUB Number

Authorised

HSBC Bank plc is a member of the Financial Services Compensation Scheme (FSCS). You may be eligible to compensation from the scheme if you have a valid claim against us in respect of investment business and we cannot meet our obligations. Most types of investment business are covered up to a maximum limit of £50,000 per person. For further information about the compensation provided by the FSCS (including the amounts covered and eligibility to claim) please refer to the FSCS website www.FSCS.org.uk or call the FSCS on 0800 678 1100 or 020 7741 4100. For your InvestDirect International account, any cash held in your account will be classed as a deposit. Eligible depositors include individuals and most small firms. However, if you have any doubt about your eligibility you should contact FSCS direct. Regulated by the Financial Conduct Authority HSBC Bank plc is entered in the Financial Services Register. Interim Permission Number 0006343. HSBC Bank plc's registered VAT number is GB365684514 and its company register number is 14259. HSBC Bank plc is established at 8 Canada Square, London E14 5HQ, which is its registered office. HSBC Bank plc is authorised by the Prudential Regulation Authority (PRA) and regulated by the Financial Conduct Authority (FCA) and the Prudential Regulation Authority. Our firm reference number is 114216. HSBC Bank plc is also registered with the Jersey Financial Services Commission, the Guernsey Financial Services Commission and the Isle of Man Financial Services Authority for banking and investment business. HSBC Bank plc's registered VAT Number is GB 365684514.